

Express Mail Label Number ER 913328488 US

Attorney's Docket No. 2002DE118

PatentIN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Olaf SCHUPP, et al. : Attorney Docket: 2002DE118
Serial No.: to be assigned :
Filed: December 17, 2004 :
For: Method For Producing Disazo Pigments

Transmittal LetterNotification of Amendments Under PCT Article 34

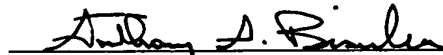
Mail Stop
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Preliminary to the examination of the above-identified application, an Amendment was filed under Article 34 of the Patent Cooperation Treaty prior to the International Preliminary Examination. Please note the attached pages numbered 30 - 32, as filed with the European Patent Office on August 23, 2004. We enclose copies of the above-mentioned pages for your convenience.

Applicant respectfully requests submission of these pages before examination of the application and before entry of the Preliminary Amendment.

Respectfully submitted,



Anthony A. Bisulca
Attorney for Applicant
Registration No. 40,913

(CUSTOMER NUMBER 25,255)

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Phone 704 331-7151
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10/519218

DT15 Rec'd PCT/STO 17 DEC 2004
Attorney's Pocket No. 2002DE118

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Express Mail Label Number ER 913328488 US

Date of Mailing: December 17, 2004

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that on the date indicated above this International application and the documents referred to as enclosed therein, of:

Inventor: Olaf SCHUPP
Felix W. GRIMM
Manfred OPRAVIL
Joachim WEBER

For: Method For Producing Disazo Pigments

International Application No.: PCT/EP03/05520
International Filing Date: 27/05/2003 (27 May 2003)
Priority Filing Date: 20/06/2002 (20 June 2002)

is being deposited with the United States Postal Service as "Post Office to Addressee" Express Mail addressed to the Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, Mail Stop:, in accordance with 37 CFR 1.10.



Vicki L. Sgro

FORM PTO-1390
(REV. 01/2003)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

2002DE118

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

unknown **10/519218**

INTERNATIONAL APPLICATION NO.

PCT/EP03/05520

INTERNATIONAL FILING DATE

27 May 2003

PRIORITY DATE CLAIMED

20 June 2002

TITLE OF INVENTION

Method For Producing Disazo Pigments

APPLICANT(S) FOR DO/EO/US

Olaf SCHUPP, Felix W. GRIMM, Manfred OPRAVIL, Joachim WEBER

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ Has been communicated by the International Bureau.
 - c. ☐ Is not required, as the application was filed in the United States Receiving Office (Ro/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto.
 - b. ☐ Has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ Have been communicated by the International Bureau.
 - c. ☐ Have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ Have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☒ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☐ An application Data Sheet under 37 CFR 1.76
15. ☐ A substitute specification
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter .2 and 37 CFR 1.821 – 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:

- Express Mail Label Number: ER913328488US; copy of the International Search Report; copy of IPER with amended sheets.

Customer Number 25,255

U.S. APPLICATION NO. (if known, see 37 CFR 1.51) unknown: 10/519218	INTERNATIONAL APPLICATION NO. PCT/EP03/05520	ATTORNEY'S DOCKET NUMBER 2002DE118
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21. ☒ The following fees are submitted:
BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):
Neither international preliminary examination fee (37 CFR 1.482)
Nor international search fee (37 CFR 1.445(a)(2) paid to USPTO
and International Search Report not prepared by the EPO or JPO.....\$1,110.00
International preliminary examination fee (37 CFR 1.482) not paid to
USPTO but International Search Report prepared by the EPO or JPO.....\$ 950.00
International preliminary examination fee (37 CFR 1.482) not paid to
USPTO but International Search fee (37 CFR 1.482) paid to USPTO.....\$790.00
International preliminary examination fee (37 CFR 1.482) paid to USPTO
but all claims did not satisfy provisions of PCT Article 33 (1)-(4).....\$750.00
International preliminary examination fee (37 CFR 1.482) paid to USPTO
and all claims satisfied provisions of PCT Article 33 (1)-(4)\$100.00
ENTER APPROPRIATE BASIC FEE AMOUNT =

CALCULATIONS PTO USE ONLY	
FEE VALUE ACCOUNTABILITY	
DEPOSIT ACCOUNT NO.	
03 2060	
FEE CODE	VALUE FURNISHED
1632	500
1642	400
\$ 950.00	
\$ 0.00	

Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months
From the earliest claimed priority date (37 CFR 1.492(e)).

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	23 - 20 =	3	x \$18.00
Independent claims	3 - 3 =	0	x \$88.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$300.00

\$	54.00
\$	0.00
\$	0.00

TOTAL OF ABOVE CALCULATIONS =

\$ 1,004.00

☐ Applicant claims small entity status. See 37 CFR 1.27. The fee is reduced by 1/2.

\$ 0.00

SUBTOTAL =

\$ 1,004.00

Processing fee of \$130.00 for furnishing the English translation later than 30 months
From the earliest claimed priority date (37 CFR 1.492(f)).

\$ 0.00

TOTAL NATIONAL FEE =

\$ 1,004.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

\$ 40.00

TOTAL FEES ENCLOSED =

\$ 1,044.00

Amount to be refunded:	\$
charged:	\$

- a. ☐ A check in the amount of \$ _____ to cover the above fees is enclosed.
- b. ☒ Please charge my Deposit Account No. 03-2060 in the amount of \$ 1,044.00 to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 03-2060. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:
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4000 Monroe Road
Charlotte, North Carolina 28205
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Anthony A. Bisulca
SIGNATURE

Anthony A. Bisulca
NAME

Adjustment Date: 08/03/2005 WALVARAD
01705/2005 NKAYPAGH 00000061 032060 10519218
02 FC:1632